# Financial Planning Questionnaire



### Contact Information

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##### Purpose of this Document / Confidentiality

The purpose of this document is to assist you in gathering all relevant personal and financial information required to provide you with financial planning and/or financial investment advice. The information you provide is strictly confidential and will be used exclusively for the preparation of your personal financial plan.

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##

## Personal Information

#### Clients

|  |  |  |
| --- | --- | --- |
| Name |  |  |
| Date of Birth |  |  |
| Address |  |  |
| Employer |  |  |
| Occupation |  |  |
| Phone Number |  |  |
| Email Address |  |  |
| Citizenship(s) |  |  |

#### Children & RESP (Registered Education Savings Plans)

|  |  |  |  |
| --- | --- | --- | --- |
| Name |  |  |  |
| Date of Birth |  |  |  |
| RESP Balance |  |  |  |
| RESP Contributions and CESG Received to Date |  |  |  |
| Annual Education Cost |  |  |  |
| Education Start Age |  |  |  |
| Education Length |  |  |  |

## Financial Goals

#### Do you have any questions that we can help you answer?

(i.e., Do I have enough money to retire comfortably? How long will my money last? What is the most I can spend?)

|  |
| --- |
|  |

#### What is your desired annual after-tax lifestyle expense in retirement?

(Note: this is the base expenses value in Snap)

|  |
| --- |
|  |

#### When would you like to retire?

|  |
| --- |
|  |

#### Is it important for you to pass any assets to the family as a legacy?

|  |
| --- |
|  |

#### Do you have any financial goals? When do you want to reach these goals?

(i.e., Home renovation projects, paying off debt, paying off credit cards, travel, etc.)

|  |
| --- |
|  |

## Expenses, Incomes, Pensions, and Government Benefits

### Additional Expenses

(Example: vacation, cars, renovation, and other occasional or one-time expenses)

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Client | Expense description | Annual Amount | From Age | To Age | Frequency(For example: every 3 years) | Indexation |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |

### Income

(Excluding investment income. Please enter CPP and OAS under the [Government Benefits](#_Government_Benefits_1) section.)

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Client | Source | Annual Gross Amount | End Date | Indexation | Taxable(Yes/No) |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |

###

### Pensions

#### DBPP - Defined Benefit Pension Plan

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Client | Source | Start Age | Amount Before Age 65 | Amount at Age 65 and After |
| Gross Annual | Survivor % | Indexing % | Gross Annual  | Survivor % | Indexing % |
|  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |

####

#### DCPP - Defined Contribution Pension Plan

|  |  |  |  |
| --- | --- | --- | --- |
| Client | Balance | Employee Contribution % | Employer Contribution % |
|  |  |  |  |
|  |  |  |  |

### Government Benefits

#### CPP - Canada Pension Plan

|  |  |  |  |
| --- | --- | --- | --- |
| Client | Start Age | Gross Dollar Amount(Annual) | % of Maximum (Optional) |
|  |  |  |  |
|  |  |  |  |

####

#### OAS - Old Age Security

|  |  |  |  |
| --- | --- | --- | --- |
| Client | Start Age | Gross Dollar Amount(Annual) | 40 years in Canada? / % of Maximum |
|  |  |  |  |
|  |  |  |  |

##

## Net Worth

### Assets

#### Capital Assets

##### Registered

(Note on DCPPs: Please enter Defined Contribution Pension Plans under the [Pensions](#_DCPP_-_Defined) section.)

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Owner(s) | Account Type | Financial Institution | Market Value | Contribution Room | Annual Contributions (incl. Employer) |
|  | TFSA |  |  |  |  |
|  | TFSA |  |  |  |  |
|  | RRSP / RRIF |  |  |  |  |
|  | RRSP / RRIF |  |  |  |  |
|  | Spousal RRSP |  |  |  |  |
|  | LIRA / LIF |  |  |  |  |
|  | FHSA |  |  | \* Please see below |  |

\* FHSA: Opening age: Carryforward Room for this year:

Lifetime Contributions:

##### Non-Registered

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Owner(s) | Account Type | Financial Institution | Market Value | Adjusted Cost Base | Annual Contributions (incl. Employer) |
|  | Investment |  |  |  |  |
|  | Investment |  |  |  |  |
|  | Savings |  |  |  |  |

#### Real Assets

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Owner(s) | Type | Location | Market Value | Adjusted Cost Base | Net Rental Income |
|  | Principal Residence |  |  |  |  |
|  | Vacation Property |  |  |  |  |

### Liabilities

#### Debts

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Owner(s) | Type | Financial Institution | Balance | Interest Rate | Monthly Payment |
|  | Mortgage |  |  |  |  |
|  | Loan |  |  |  |  |
|  | Line of Credit |  |  |  |  |

##

## Insurance

#### Life Insurance

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Owner(s) | Type  | Life Insured | Beneficiaries | Coverage Details(Premiums, Benefit, End Date, Riders, Insurance Provider, Etc.) |
|  | Term |  |  |  |
|  | Term |  |  |  |
|  | Whole |  |  |  |

## Notes

|  |
| --- |
|  |