

Financial Planning Questionnaire



Contact Information

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Purpose of this Document / Confidentiality

The purpose of this document is to assist you in gathering all relevant personal and financial information required to provide you with financial planning and/or financial investment advice. The information you provide is strictly confidential and will be used exclusively for the preparation of your personal financial plan.

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Personal Information

Clients

Name	Jay Steele	Barbara Steele
Date of Birth	May 1 st , 1960	June 1 st , 1962
Address		
Employer		
Occupation		
Phone Number		
Email Address		
Citizenship(s)		

Children & RESP (Registered Education Savings Plans)

Name			
Date of Birth			
RESP Balance			
RESP Contributions and CESG Received to Date			
Annual Education Cost			
Education Start Age			
Education Length			

Financial Goals

Do you have any questions that we can help you answer?

(i.e., Do I have enough money to retire comfortably? How long will my money last? What is the most I can spend?)

Are we currently saving enough to meet our retirement goal?

If not, what is the most we can spend in retirement?

What is your desired annual after-tax lifestyle expense in retirement?

(Note: this is the base expenses value in Snap)

\$9,000 per month, \$108K/yr

When would you like to retire?

The end of the year that Jay turns 64.

Is it important for you to pass any assets to the family as a legacy?

No

Do you have any financial goals? When do you want to reach these goals?

(i.e., Home renovation projects, paying off debt, paying off credit cards, travel, etc.)

Expenses, Incomes, Pensions, and Government Benefits

Additional Expenses

(Example: vacation, cars, renovation, and other occasional or one-time expenses)

Client	Expense description	Annual Amount	From Age	To Age	Frequency <small>(For example: every 3 years)</small>	Indexation
Jay & Barbara	Vacation	\$10K	Now	80	Every 3 years	Yes

Income

(Excluding investment income. Please enter CPP and OAS under the [Government Benefits](#) section.)

Client	Source	Annual Gross Amount	End Date	Indexation	Taxable (Yes/No)
Jay	ABC Co.	\$90K	End of 2024		Yes
Barbara	DEF Co.	\$110K	End of 2024		Yes

Pensions

DBPP - Defined Benefit Pension Plan

Client	Source	Start Age	Amount Before Age 65			Amount at Age 65 and After		
			Gross Annual	Survivor %	Indexing %	Gross Annual	Survivor %	Indexing %
Jay	DBPP	65				\$55K	80%	2%

DCPP - Defined Contribution Pension Plan

Client	Balance	Employee Contribution %	Employer Contribution %

Government Benefits

CPP - Canada Pension Plan

Client	Start Age	Gross Dollar Amount (Annual)	% of Maximum (Optional)
Jay	65		75%
Barbara	65		75%

OAS - Old Age Security

Client	Start Age	Gross Dollar Amount (Annual)	40 years in Canada? / % of Maximum
Jay	65		Yes
Barbara	65		Yes

Net Worth

Assets

Capital Assets

Registered

(Note on DCPs: Please enter Defined Contribution Pension Plans under the [Pensions](#) section.)

Owner(s)	Account Type	Financial Institution	Market Value	Contribution Room	Annual Contributions (incl. Employer)
Jay	TFSA		\$85K	\$6K	\$6K
Barbara	TFSA		\$85K	\$6K	\$6K
Jay	RRSP / RRIF		\$150K	\$20K	
Barbara	RRSP / RRIF		\$375K	\$20K	
	Spousal RRSP				
	LIRA / LIF				
	LIRA / LIF				

Non-Registered

Owner(s)	Account Type	Financial Institution	Market Value	Adjusted Cost Base	Annual Contributions (incl. Employer)
	Investment				
Jay & Barbara	Investment		\$20K		
	Savings				

Real Assets

Owner(s)	Type	Location	Market Value	Adjusted Cost Base	Net Rental Income
Jay & Barbara	Principal Residence		\$550K		
	Vacation Property				

Liabilities

Debts

Owner(s)	Type	Financial Institution	Balance	Interest Rate	Monthly Payment
Jay & Barbara	Mortgage		\$72K	3.5%	\$1200
	Loan				
	Line of Credit				

Insurance

Life Insurance

Owner(s)	Type	Life Insured	Beneficiaries	Coverage Details (Premiums, Benefit, End Date, Riders, Insurance Provider, Etc.)
	Term			
	Term			
	Whole			

Notes